

01919 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P94,2029 02

First Named Inventor or Application Identifier

Guenther Waitl, Franz Schellhorn and Herbert Brunner

Express Mail Label No: EJ 077698606 US

ADDRESS TO: **MAIL STOP PATENT APPLICATION**  
**Commissioner for Patents**  
**P. O. Box 1450**  
**Alexandria, VA 22313-1450**

22141 U.S. PTO  
10/723928

112603

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 8
2. ☒ Drawing(s) (35 USC 113) Total Pages 1
3. ☒ Declaration and Power of Attorney Total Pages 2
  - a. ☐ Newly executed(original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 14 completed)  
**[Note Box 4 Below]**
    - i. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting  
 Inventor(s) named in the prior application,  
 see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☒ Incorporation By Reference (usable if Box 3b is checked)  
 The entire disclosure of the prior application, from which a  
 copy of the oath or declaration is supplied under Box 3b, is  
 considered as being part of the disclosure of the  
 accompanying application and is hereby incorporated by  
 reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☒ Assignment Papers (cover sheet & documentation)  
was filed in U.S. Serial No. 10/147,672 (copy enclosed)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity Status
12. ☒ Certified Copy of Priority Document(s)  
Copy from prior application
13. ☐ Other: \_\_\_\_\_

### 14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10/147,672, Filed May15, 2002

### CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4)RATE	(5) BASIC FEE \$770.00
TOTAL CLAIMS 20	6			
INDEPENDENT CLAIMS 03	2			
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
TOTAL FILING FEE ->				\$770.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 501519. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$770.00 to cover the filing fee is enclosed.

### 15. CORRESPONDENCE ADDRESS

**SCHIFF HARDIN & WAITE**  
**Patent Department**  
**6600 Sears Tower**  
**233 South Wacker Drive**  
**Chicago, Illinois 60606**  
**Telephone (312) 258-5500 - Fax (312) 258-5786**  
**Customer Number: 000026574**

The PTO did not receive the following  
 listed item(s): Assignment papers

SIGNATURE: \_\_\_\_\_

(Reg. No. 27,841)

DATE: November 26, 2003

# SCHIFF HARDIN & WAITE

A Partnership Including Professional Corporations

6600 Sears Tower, Chicago, Illinois 60606-6473  
Telephone (312) 258-5500 Facsimile (312) 258-5700

Chicago  
Washington  
New York  
Merrillville  
Dublin  
Lake Forest

November 26, 2003

## MAIL STOP PATENT APPLICATIONS

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**RE: Divisional Application for Guenther Waitl et al of Serial No. 10/147,672, filed May 15, 2002 entitled "TWO-POLE SMT MINIATURE HOUSING FOR SEMICONDUCTOR COMPONENTS AND METHOD FOR THE MANUFACTURE THEREOF" - Our Ref. No. P94,2029 -02**

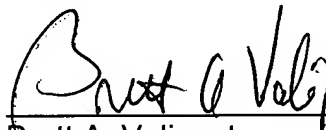
SIR:

Under the provisions of 37 CFR 1.41(c), I am filing the attached divisional application with Utility Patent Application Transmittal – Copy of Specification, Declaration, Information Disclosure Statement; References, Form 1449, one sheet of drawing figure, copy of first page of Priority Document, Preliminary Amendment, and filing fee, on behalf of Guenther Waitl et al, and request that the application papers be assigned a serial number and filing date.

On behalf of the inventors, I hereby claim priority from German Application No. P4333392.3, filed September 30, 1993.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 C.F.R. § 1.53(b) and 37 C.F.R. § 1.53(f).

Respectfully submitted,



(Reg. #27,841)

Brett A. Valiquet  
Customer No. 26574  
Attorney for Applicants

BAV/cb  
Encls.

CH1\4074808.1

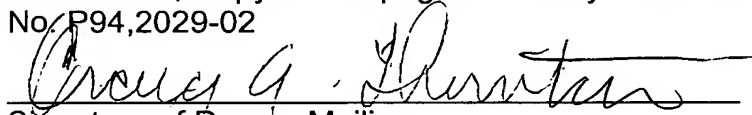
**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**"Express Mail" Mailing Label Number EJ 077698606 US**

**Date of Deposit: November 26, 2003**

I hereby certify that the following is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Applications, Alexandria, VA. 22313.

U. S. Divisional Patent Application of Serial No. 10/147,672 Filed May 15, 2002 - for Guenther Waitl et al entitled "TWO-POLE SMT MINIATURE HOUSING FOR SEMICONDUCTOR COMPONENTS AND METHOD FOR THE MANUFACTURE THEREOF", Utility Patent Application Transmittal - Information Disclosure Statement - References - Form 1449 - Preliminary Amendment - Copy of Specification, Drawing Declaration, Copy of first page of Priority Document, and filing fee - Attorney Docket No. P94,2029-02

A handwritten signature in cursive script, appearing to read "Craig A. Hunter", is written over a horizontal line.

Signature of Person Mailing  
Application and Fee